

**1.3.11 Partial Order, a Poset.** Apart from equivalence relations there is another type of relations that plays a special role in mathematics. And it is a so called partial order, or a partial ordered set, shortly a poset.

**Definition.** A relation  $R$  on a set  $A$  is called an *order (partial order)*, if it is reflexive, antisymmetric and transitive. A set  $A$  together with a partial order is often called a *poset*.  $\square$

**1.3.12 Examples of Posets.**

1. The well-known ordering of real numbers is an order in the above sense. Indeed, for all real numbers  $a, b, c \in \mathbb{R}$  we have:  $a \leq a$ ; if  $a \leq b$  and  $b \leq a$  then necessarily  $a = b$ ; if  $a \leq b$  and  $b \leq c$  then also  $a \leq c$ .
2. Denote by  $A$  the set of all subsets of the set  $U$ . Then the relation  $\subseteq$ , “to be a subset”, is an order on  $A$ . Verification of reflexivity, antisymmetry and transitivity is left to the reader.
3. Let  $A = \mathbb{N}$ , where  $\mathbb{N}$  is the set of all natural numbers. The the relation of divisibility defined by  $m \mid n$  if and only if  $m$  is a divisor of  $n$  (i.e. if  $n = k \cdot m$  for some  $k \in \mathbb{N}$ ) is an order. Indeed, for all natural numbers  $m, n, k$  we have  $m \mid m$ ; if  $m \mid n$  and  $n \mid m$  then  $m = n$ ; if  $m \mid n$  and  $n \mid k$  then also  $m \mid k$ .

**1.3.13 Proposition.** If  $\sqsubseteq$  is a partial order on a set  $A$ , then so is a restriction of  $\sqsubseteq$  on any subset  $B \subseteq A$ .

**1.3.14 Hasse diagram of a poset.** Let  $(A, \sqsubseteq)$  is a poset for a finite set  $A$ . The *covering relation*  $\prec$  is a subrelation of  $\sqsubseteq$  defined by

$$a \prec b \text{ if and only if } a \neq b \text{ and if } a \sqsubseteq c \sqsubseteq b \text{ then } a = c \text{ or } b = c.$$

The *Hasse diagram* contains points for all  $a \in A$ , representing the covering relation where elements smaller are drawn lower than the bigger ones.

**1.3.15 Smallest (or least) element, greatest (or biggest) element.** Given a poset  $(A, \sqsubseteq)$ .

- We say that  $a \in A$  is the *smallest element* if for every  $b \in A$  we have  $a \sqsubseteq b$ .
- We say that  $a \in A$  is the *greatest element* if for every  $b \in A$  we have  $b \sqsubseteq a$ .

**1.3.16 Minimal elements, maximal elements.** Given a poset  $(A, \sqsubseteq)$ .

- We say that  $a \in A$  is a *minimal element* if for every  $b \in A$  we have if  $b \sqsubseteq a$  then  $b = a$ .
- We say that  $a \in A$  is a *maximal element* if for every  $b \in A$  we have if  $a \sqsubseteq b$  then  $b = a$ .

**1.3.17 Facts.** Given a poset  $(A, \sqsubseteq)$ .

- If it has the smallest element, then it is the only minimal element.
- If it has the greatest element, then it is the only maximal element.
- A poset can have more than one minimal and/or maximal element, but in this case it does not have the smallest and/or greatest element.
- A poset can have no minimal and/or no maximal element.
- Any poset  $(A, \sqsubseteq)$  with a finite set  $A$  has at least one minimal and at least one maximal element.

**1.3.18 Linear order, comparable and incomparable elements.** Let  $(A, \sqsubseteq)$  be a poset and  $a, b \in A$ . We say that  $a, b$  are *comparable* if  $a \sqsubseteq b$  or  $b \sqsubseteq a$ . Otherwise, they are called *incomparable*.

A partial order  $\sqsubseteq$  on  $A$  is called a *linear order* if any two elements of  $A$  are comparable.

**1.3.19 Well-ordering.** A partial order  $\sqsubseteq$  on  $A$  is called *well-ordering* if any non-empty subset  $M \subseteq A$  has the smallest element.

Note, that a well-ordering is necessary a linear ordering; indeed, that any  $\{a, b\} \subseteq A$  then if the smallest element is  $a$  then  $a \sqsubseteq b$ , if it is  $b$  then  $b \sqsubseteq a$ .

**1.3.20 Well-ordering Principle.** Let  $\mathbb{N}$  be the set of all natural numbers. Then the ordinary relation  $\leq$  "to be smaller or equal to" is a well-ordering.

**Remark.** Well-ordering Principle cannot be either proved or disproved. We show later that it is equivalent with the Principle of Mathematical Induction.

## 1.4 Mathematical Induction.

Mathematical induction is not only a device for proving assertions, but it can serve as a tool for finding formulas and for defining sets. Let us start with a formulation of a weak principle of mathematical induction.

**1.4.1 Principle of (Weak) Mathematical Induction.** Given a property  $V(n)$  that may be true or false for  $n \in \mathbb{N}$ . Let  $n_0$  be a natural number. Assume that the following two conditions hold:

1)  $V(n_0)$  holds.

2w) If  $V(n)$  is true for a natural number  $n \geq n_0$  then so is  $V(n+1)$ .

Then  $V(n)$  is true for all natural numbers  $n \geq n_0$ .

The condition 1) is called the *basic step* and the condition 2w) the *inductive step*. Moreover, the assumption that  $V(n)$  is true is called the *induction assumption* (or *inductive hypothesis*).

**1.4.2 Example.** Let us prove, using the mathematical induction, that for any set  $U$  with  $n$  elements, it holds that the set  $\mathcal{P}(U)$  of subsets of  $U$  has  $2^n$  elements for any natural number  $n \geq 0$ .

*Solution.* We shall proceed by mathematical induction. Denote  $U = \{x_1, x_2, \dots, x_n\}$ .

Basic step: For  $n = 0$  we have  $U = \emptyset$ , and  $\emptyset$  has just  $1 = 2^0$  subsets. Hence, the assertion holds for  $n = 0$ .

Inductive step: Assume that any  $n$  element set has  $2^n$  subsets (the induction assumption).

Consider any  $n+1$  element set  $U$ , i.e.  $U = \{x_1, \dots, x_n, x_{n+1}\}$ . We can divide subsets of  $U$  into two (disjoint) subsets  $A$  and  $B$  as follows:  $A = \{X \subseteq U \mid x_{n+1} \notin X\}$  and  $B = \{X \subseteq U \mid x_{n+1} \in X\}$ .

By induction assumption,  $A$  has  $2^n$  elements because it is a set of all subsets of  $\{x_1, \dots, x_n\}$ . Moreover,

$$B = \{Y \cup \{x_{n+1}\} \mid Y \subseteq \{x_1, \dots, x_n\}\}.$$

Therefore,  $B$  has also  $2^n$  elements. Hence, we get

$$|\mathcal{P}(\{x_1, \dots, x_{n+1}\})| = 2^n + 2^n = 2^{n+1}$$

which proves that any  $n+1$  element set  $U$  has  $2^{n+1}$  subsets.

We have proved that any set with  $n$  element has  $2^n$  subsets for **every**  $n \in \mathbb{N}$ .

**1.4.3** The next example shows how we can use the principle of mathematical induction to derive a formula.

**Example.** Derive a formula for  $\sum_{i=0}^n i^2$ .

*Solution.* Our guess will be that it is some polynomial of degree 3. (It is a generalization of the fact that  $\sum_{i=0}^n i = \frac{n(n+1)}{2}$ .) A general polynomial of degree 3 is  $an^3 + bn^2 + cn + d$ .

If  $\sum_{i=0}^n i^2 = an^3 + bn^2 + cn + d$  is a correct formula then it has to be valid for any  $n \geq 0$ . If we would like to prove the formula using mathematical induction we should have to show that the unknown coefficients  $a, b, c, d$  satisfy the basic and the inductive step.

Let us first examine the basic step:

For  $n = 0$ , it must hold

$$0 = \sum_{i=0}^0 i^2 = a \cdot 0^3 + b \cdot 0^2 + c \cdot 0 + d = d.$$

Hence  $d = 0$  and if the formula would be correct then  $\sum_{i=0}^n i^2 = an^3 + bn^2 + cn$ .

Now, let us deal with the inductive step. Assume that  $\sum_{i=0}^n i^2 = an^3 + bn^2 + cn$ . We have to show that  $\sum_{i=0}^{n+1} i^2 = a(n+1)^3 + b(n+1)^2 + c(n+1)$ . We know that

$$\sum_{i=0}^{n+1} i^2 = \sum_{i=0}^n i^2 + (n+1)^2.$$

Hence the coefficients  $a, b, c$  have to satisfy

$$a(n+1)^3 + b(n+1)^2 + c(n+1) = an^3 + bn^2 + cn + (n+1)^3.$$

Two polynomials are equal if and only if the corresponding coefficients are equal. We get the following system of linear equations

$$\begin{array}{rcl} 3a & & = 1 \\ 3a + 2b & & = 2 \\ a + b + c & & = 1 \end{array}$$

The only solution of the system above is  $a = \frac{1}{3}$ ,  $b = \frac{1}{2}$ , and  $c = \frac{1}{6}$ . Therefore,

$$\sum_{i=0}^n i^2 = \frac{1}{3}n^3 + \frac{1}{2}n^2 + \frac{1}{6}n = \frac{n(2n+1)(n+1)}{6}.$$

**1.4.4 Theorem.** The principle of mathematical induction follows from the well-ordering principle.

**Proof.** Assume that for a property  $V(n)$  there is  $n_0 \in \mathbb{N}$  such that the following conditions hold:

1.  $V(n_0)$  is true.
2. If  $V(n)$  is true for a natural number  $n \geq n_0$  then so is  $V(n+1)$ .

Denote by  $M$  the set of all natural numbers  $n \geq n_0$  for which  $V(n)$  is not true. Assume that  $M$  has the smallest element, say  $n_1$ . Clearly,  $n_1 \neq n_0$  because  $V(n_0)$  is true. Hence,  $n_1 > n_0$ . Take  $n_2 = n_1 - 1$ ; then  $n_2 \geq n_0$ . Since  $n_1$  is the smallest element of  $M$ ,  $n_2 \notin M$ , which means that  $V(n_2)$  is true. But then by the condition 2),  $V(n_1)$  is true as well, indeed,  $n_1 = n_2 + 1$ . A contradiction.

Therefore,  $M$  does not have the smallest element which, by well-ordering principle, means that  $M = \emptyset$ . We have shown that  $V(n)$  is true for all natural numbers  $n \geq n_0$ , which is what mathematical induction claims.