Factorising n by  $\varphi(n)$ Algorithm for recognising a perfect power

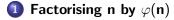
# Factorising n by $\varphi(n)$

#### Mathematical Cryptography, Lecture 21

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#### **2** Algorithm for recognising a perfect power

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Some choices of witnesses to compositeness of n in the Miller-Rabin test allowe to factorise n:

- Choice  $a \in \mathbb{Z}_n^+ \setminus \mathbb{Z}_n^*$  gives the factor  $d = \gcd(a, n) > 1$ .
- Choice a ∈ K<sub>n</sub> \ L<sub>n</sub> generates a non-trivial square root of 1 (i.e., c ≠ ±1, where c<sup>2</sup> = 1 in Z<sub>n</sub>), which gives the factors d<sub>1,2</sub> = gcd(c ± 1, n) > 1.

We show that the knowledge of the factorisation of n is equivalent to the knowledge of  $\varphi(n)$  and we use the same technique as in the proof of estimating number of false witnesses in the Miller-Rabin test.

#### Proposition

The problem of factorising n is equivalent to the knowledge of  $\varphi(n)$ , or from knowledge of one of these facts, the other one can be calculated in polynomial time.

- From  $n = \prod_{i=1}^{r} p_i^{e_i}$  we have  $\varphi(n) = \prod_{i=1}^{r} p_i^{e_i-1}(p_i-1)$ .
- For n = pq, from φ(n) we can compute p and q as solutions of the quadratic equation x<sup>2</sup> (n + 1 φ(n))x + n = 0. Since φ(n) = (p 1)(q 1) = n (p + q) + 1, we know sum and product of two solutions.
- We design a polynomial algorithm that calculates factorisation of any n from knowledge of φ(n) (or another multiple of the exponent of the group Z<sup>\*</sup><sub>n</sub>).

#### Exponent of the group $\mathbb{Z}_n^\ast$

The exponent of the group  $\mathbb{Z}_n^*$  is the smallest m > 0 such that  $a^m = 1$  for all  $a \in \mathbb{Z}_n^*$ . It is denoted by  $\lambda(n)$  (the Carmichael function) and the following formulas hold:

• 
$$\lambda(\prod_{i=1}^r p_i^{e_i}) = \operatorname{lcm}(\lambda(p_1^{e_1}), \ldots, \lambda(p_r^{e_r}))$$

• 
$$\lambda(p^e) = \varphi(p^e) = p^{e-1}(p-1)$$
 for primes  $p > 2$ 

• 
$$\lambda(2^e) = \frac{\varphi(2^e)}{2} = 2^{e-2}$$
 for  $e \ge 3$ ,  $\lambda(4) = 2$ ,  $\lambda(2) = 1$ .

#### Consequence

- λ(n) | φ(n) for every n, thus φ(n) is a multiple of the exponent of the group Z<sup>\*</sup><sub>n</sub>.
- $\lambda(n)$  is even for every n > 2.
- If  $d \mid n$ , then  $\lambda(d) \mid \lambda(n)$ .

#### Algorithm for finding a factor of n by $\lambda(n)$

Input: n > 1 odd, where  $n \neq p^e$  for a prime p, m such that  $\lambda(n) \mid m, m = t 2^h$  for t odd; Output: d, where  $d \mid n, 1 < d < n$ , or a message "failure"

• 
$$a \stackrel{q'}{\leftarrow} \mathbb{Z}_n^+$$

• 
$$d \leftarrow gcd(a, n)$$

• if d > 1 then output d and halt endif

• 
$$b \leftarrow a^t$$
 in  $\mathbb{Z}_n$  (now  $a \in \mathbb{Z}_n^*$ , so  $a^m = 1$  in  $\mathbb{Z}_n$ )

• for 
$$j \leftarrow 0$$
 to  $h-1$  do

• 
$$d \leftarrow \operatorname{gcd}(b-1, n)$$

- it 1 < d < n then output d and halt endif
- $b \leftarrow b^2$  in  $\mathbb{Z}_n$  enddo

output "failure"

#### Proposition

The probability that the algorithm finds a factor of *n* is at least  $\frac{1}{2}$ .

Choosing  $a \in \mathbb{Z}_n^+ \setminus \mathbb{Z}_n^*$  leads to factorization in part 1, choosing  $a \in \mathbb{Z}_n^*$  leads to some square root of 1 in the part 2. The algorithm can only report failure if  $a \in L$  is chosen, where  $L = \{a \in \mathbb{Z}_n^*, \text{ when } a^{t 2^j} = 1, \text{ then } a^{t 2^{j-1}} = \pm 1, \text{ for } 1 \leq j \leq h\}$ . Similary to the Miller-Rabin test, it can be shown that for  $n = \prod_{i=1}^r p_i^{e_i}$ , where  $r \geq 2$  and  $p_i$  are odd primes:

$$|L| \leq \frac{2}{2^r} |\operatorname{Ker} \rho_{t2^g}| \leq \frac{1}{2} |\mathbb{Z}_n^*|,$$

where  $\rho_{t^{2^g}}: x \mapsto x^{t^{2^g}}$ ,  $g = \min\{h, h_1, \dots, h_r\}$ ,  $m = t^{2^h}$ ,  $\varphi(p_i^{e_i}) = t_i 2^{h_i}$  and  $t, t_i$  are odd.

#### **Time complexity**

- If m ∈ O(n) (which is true for φ(n)), then the algorithm needs time O(len(n)<sup>3</sup>). The expected number of iterations before a success is two.
- If n = d<sub>1</sub>d<sub>2</sub>, then λ(d<sub>i</sub>) | λ(n) | m and the algorithm can be used recursively. There will be at most O(len(n)) recursive calls of the algorithm.
- Verifying primality or perfect powers takes roughly O(len(n)<sup>3</sup>) (see below).
- We obtain the full Factorising n from the knowledge of a multiple of λ(n) in time about O(len(n)<sup>4</sup>).

#### **Time complexity**

Our algorithm, which with finds the non-trivial factor of n from knowledge of m, where  $\lambda(n) \mid m$ , works only for odd  $n \neq p^e$ , p is prime. But this is sufficient:

- For even  $n = 2^i \tilde{n}$  we find  $\tilde{n}$  in time  $O(\operatorname{len}(n))$ . Then we factorize  $\tilde{n}$  with our algorithm, since  $\lambda(\tilde{n}) \mid m$ .
- We find the perfect power  $n = \tilde{n}^e$  in time  $O(\operatorname{len}(n)^3 \operatorname{len}(\operatorname{len}(n)))$  and factorize  $\tilde{n}$  since  $\lambda(\tilde{n}) \mid m$ .
- We can check primality of n = p by the Miller-Rabin test MR(·, k) in time O(k len(n)<sup>3</sup>) and no longer factorize it.

### Algorithm for recognising a perfect power

#### Calculating the integer square root

Input:  $n \in \mathbb{N}$ Output:  $m = \lfloor \sqrt{n} \rfloor$ Note: If  $2^{l-1} \le n < 2^{l}$ , then  $2^{\frac{l-1}{2}} \le m < 2^{\frac{l}{2}}$ . We will calculate the square root of n by bits. •  $k \leftarrow \lfloor \frac{\operatorname{len}(n)-1}{2} \rfloor$ •  $m \leftarrow 0$ • for  $i \leftarrow k$  down to 0 do • if  $(m+2^{i})^{2} \le n$  then  $m \leftarrow m+2^{i}$  endif enddo • output m

The time complexity is  $O(\frac{\operatorname{len}(n)}{2}\operatorname{len}(n)^2) = O(\operatorname{len}(n)^3).$ 

### Algorithm for recognising a perfect power

Calculating the integer e-th square root

Input:  $n \in \mathbb{N}$ Output:  $m = \lfloor \sqrt[e]{n} \rfloor$ Note: If  $2^{l-1} \le n < 2^{l}$ , then  $2^{\frac{l-1}{e}} \le m < 2^{\frac{l}{e}}$ .

- $k \leftarrow \lfloor \frac{\binom{l}{en(n)-1}}{e} \rfloor$
- *m* ← 0
- for  $i \leftarrow k$  down to 0 do • if  $(m+2^i)^e \le n$  then  $m \leftarrow m+2^i$  endif enddo
- output *m*

The time complexity is  $O(\frac{1}{e} \operatorname{len}(n)^3)$ .

### Algorithm for recognising a perfect power

Algorithm for recognising a perfect power

Input:  $n \in \mathbb{N}$ Output: answer to the question if  $n = m^e$  for some  $m, e \in \mathbb{N}$ . Note:  $m \ge 2, 2 \le e \le \text{len}(n) + 1$ 

- for  $e \leftarrow 2$  to len(n) + 1 do
  - $m \leftarrow \lfloor \sqrt[e]{n} \rfloor$
  - if  $m^e = n$  then output m, e and return *true* endif enddo

#### • return false

The time complexity is  $O(\sum_{e=2}^{\operatorname{len}(n)+1} \frac{1}{e} \operatorname{len}(n)^3)$ , replacing the sum by the integral, we get  $O(\operatorname{len}(n)^3 \operatorname{len}(\operatorname{len}(n)))$ .

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#### Literature

 Shoup: A Computational Introduction to Number Theory and Algebra. Chapter 10. http://shoup.net/ntb/